

PTO/SB/21 (02-04)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/759,732
Filing Date	January 15, 2004
First Named Inventor	Mosher, Oren A.
Art Unit	3739
Examiner Name	
Attorney Docket Number	017761-003610US

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

- | | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement

<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Response to Missing Parts/Incomplete Application

<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund

<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Request for Corrected Filing Receipt; red-marked copy of Filing Receipt; copy of Supplemental ADS as filed; copy of name change documents as recorded; Return Postcard |
|---|---|---|

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Townsend and Townsend and Crew LLP Nena Bains	Reg. No. 47,400
Signature		
Date	7-9-04	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name
Gigi Hoover

Signature

Date

July 9, 2004



PATENT

Attorney Docket No.: 017761-003610US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MOSHER, OREN A., et al.

Application No.: 10/759,732

Filed: January 15, 2004

For: NON-SURGICAL INCONTINENCE
TREATMENT SYSTEM AND METHOD

Art Unit: 3739

REQUEST FOR CORRECTED FILING
RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached is a copy of the updated Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a Corrected Filing Receipt is respectfully requested.

Please remove the information for SURx, Inc. from the Assignee portion of the Filing Receipt. The requested correction is noted in red on the attached copy of the Filing Receipt. Also attached is a Supplemental Application Data Sheet as filed on June 2, 2004 showing Solarant Medical, Inc. as the Assignee, and a copy of the name change documents as recorded on April 14, 2004 which show Solarant Medical, Inc. as the assignee and SURx, Inc. as the assignor for this application.

Authorization is hereby given to charge any fees or credit overpayment to the undersigned's Deposit Account No. 20-1430.

Respectfully submitted,

Nena Bains
Reg. No. 47,400

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300

NB:gsh
60257506 v1



UNITED STATES PATENT AND TRADEMARK OFFICE

017761-003610US

NB, SF

 UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/759,732	01/15/2004	3739	1472	017761-003610US	27	47	4

20350

 TOWNSEND AND TOWNSEND AND CREW, LLP
 TWO EMBARCADERO CENTER
 EIGHTH FLOOR
 SAN FRANCISCO, CA 94111-3834


CONFIRMATION NO. 6876

UPDATED FILING RECEIPT



OC000000013045050

Date Mailed: 06/23/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)
 Oren A. Mosher, Castro Valley, CA;
 Carine Hoarau, Pleasant Hill, CA;
 Abdul M. Tayeb, San Leandro, CA;
 George L. Matlock, Pleasanton, CA;
 Daniel D. Merrick, Dublin, CA;
 Terry E. Spraker, Portola Valley, CA;
Assignment For Published Patent Application
~~SURx, Inc., a Corporation of Delaware, Livermore, CA,~~
 Solarant Medical, Inc., Livermore, CA;
Domestic Priority data as claimed by applicant

This appln claims benefit of 60/440,711 01/16/2003

Foreign Applications

If Required, Foreign Filing License Granted: 04/22/2004

Projected Publication Date: 09/30/2004

Non-Publication Request: No

Early Publication Request: No

 J2E
 6/28/04

Title

Non-surgical incontinence treatment system and method

Preliminary Class

607

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Application Data Sheet

Application Information

Application number:: 10/759,732
Filing Date:: January 15, 2004
Application Type:: Regular
Subject Matter:: Utility
Suggested classification::
Suggested Group Art Unit::
CD-ROM or CD-R??::
Number of CD disks::
Number of copies of CDs::
Sequence Submission::
Computer Readable Form (CRF)?::
Number of copies of CRF::
Title:: NON-SURGICAL INCONTINENCE TREATMENT
SYSTEM AND METHOD
Attorney Docket Number:: 017761-003610US
Request for Early Publication:: No
Request for Non-Publication:: No
Suggested Drawing Figure:: 17
Total Drawing Sheets:: 27
Small Entity?:: Yes No
Latin name::
Variety denomination name::
Petition included?:: No
Petition Type::
Licensed US Govt. Agency::
Contract or Grant Numbers One::
Secrecy Order in Parent Appl.:: No

Applicant Information

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Oren
Middle Name:: A.
Family Name:: Mosher
Name Suffix::
City of Residence:: Castro Valley
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 6354 Mount Olympus Drive
City of Mailing Address:: Castro Valley
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94552

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Carine
Middle Name::
Family Name:: Hoarau
Name Suffix::
City of Residence:: Pleasant Hill
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 698 Paso Nogal
City of Mailing Address:: Pleasant Hill
State or Province of mailing address:: CA

Country of mailing address:: US
Postal or Zip Code of mailing address:: 94523

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Abdul
Middle Name:: M.
Family Name:: Tayeb
Name Suffix::
City of Residence:: San Leandro
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 531 Dowling Boulevard
City of Mailing Address:: San Leandro
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94577-1905

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: George
Middle Name:: L.
Family Name:: Matlock
Name Suffix::
City of Residence:: Pleasanton
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 7755 Fairbrook Court
City of Mailing Address:: Pleasanton

State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94588

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Daniel
Middle Name:: D.
Family Name:: Merrick
Name Suffix::
City of Residence:: Dublin
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 7518 Amarillo Road
City of Mailing Address:: Dublin
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94568

Applicant Authority Type:: Inventor
Primary Citizenship Country:: US
Status:: Full Capacity
Given Name:: Terry
Middle Name:: E.
Family Name:: Spraker
Name Suffix::
City of Residence:: Portola Valley
State or Province of Residence:: CA
Country of Residence:: US
Street of Mailing Address:: 2 Creek Park Drive

City of Mailing Address:: Portola Valley
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94028

Correspondence Information

Correspondence Customer Number:: 20350

Representative Information

Representative Customer Number:: 20350

Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	An Appn claiming benefit under 35 USC 119(e) of	60/440,711	01/16/03

Foreign Priority Information

Country::	Application number::	Filing Date::
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Assignee Information

Assignee Name:: Solarant Medical, Inc.
Street of mailing address:: 2675 Collier Canyon Road
City of mailing address:: Livermore
State or Province of mailing address:: CA
Country of mailing address:: US
Postal or Zip Code of mailing address:: 94550

- 361045



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231



700078521

APRIL 22, 2004

PTAS

TOWNSEND AND TOWNSEND AND CREW LLP
MARK D. BARRISH
TWO EMBARCADERO CENTER, EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/14/2004

REEL/FRAME: 014520/0706
NUMBER OF PAGES: 7

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
SURX, INC.

DOC DATE: 02/03/2004

ASSIGNEE:
SOLARANT MEDICAL, INC.
2675 COLLIER CANYON ROAD
LIVERMORE, CALIFORNIA 94550

SERIAL NUMBER: 10759732
PATENT NUMBER:

FILING DATE:
ISSUE DATE:

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

APR. 14. 2004

9:52PM

TC-PA 650-326-2422

NO.568

P.2

04/14/2004
700078521

Attorney Docket No. 017761-003610US

Form PTO-1595

(Rev. 10-02)

OMB No. 0851-0027 (exp. 5/3/04)

Recordation Form Cover Sheet
PATENTS ONLYU.S. Department of Commerce
U.S. Patent and Trademark Office

Tab settings ⇌⇌⇌ ▼

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

SURx, Inc.Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No.

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Other:

Execution Date: 3 February 2004

2. Name and address of receiving party(ies)

Name: Solarant Medical, Inc.

Internal Address: _____

Street Address: 2875 Collier Canyon RoadCity: Livermore State: CA ZIP: 94550Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 10/759732

B. Patent No(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Mark D. Barrish**
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 17. Total fee (37 CFR 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark D. BarrishName of Person Signing
Atty. Reg. No. 36,443
Signature04/14/2004

Date

Total number of pages including cover sheet, attachments and documents: 9

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450



SECRETARY OF STATE
NAME CHANGE
CERTIFICATE OF QUALIFICATION

C1998356

I, KEVIN SHELLEY, Secretary of State of the State of California, hereby certify that on the 11th day of February, 2004, there was filed in this office an Amended Statement and Designation by Foreign Corporation whereby the corporate name of **SURX, INC.**, a corporation organized and existing under the laws of **Delaware**, was changed to **SOLARANT MEDICAL, INC.** . This corporation complied with the requirements of California law in effect on that date for the purpose of qualifying to transact intrastate business in the State of California and as of said date has been and is qualified and authorized to transact intrastate business in the State of California, subject however, to any licensing requirements otherwise imposed by the laws of this State.

IN WITNESS WHEREOF, I execute this
certificate and affix the Great Seal
of the State of California this day
of February 19, 2004.



Kevin Shelley
KEVIN SHELLEY
Secretary of State



SECRETARY OF STATE



I, *Kevin Shelley*, Secretary of State of the State of California, hereby certify:

That the attached transcript of 2 page(s) has been compared with the record on file in this office, of which it purports to be a copy, and that it is full, true and correct.

IN WITNESS WHEREOF, I execute this certificate and affix the Great Seal of the State of California this day of

FEB 19 2004



Kevin Shelley
Secretary of State

A0607893

AMENDED STATEMENT BY
FOREIGN CORPORATION

ENDORSED - FILED
in the office of the Secretary of State
of the State of California

FEB 11 2004

KEVIN SHELLEY
Secretary of State

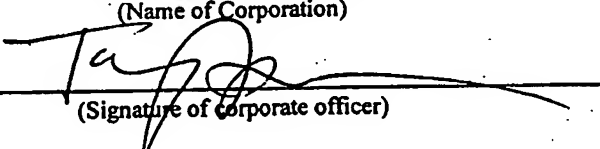
Solarant Medical, Inc.
(Name of Corporation)

_____, a corporation organized
and existing under the laws of Delaware, and which is presently
(Place or State of Incorporation)

qualified for the transaction of intrastate business in the State of California, makes the following
statement:

That the name of the corporation has been changed to that hereinabove set forth and that the
name relinquished at the time of such change was SURx, Inc.

Solarant Medical, Inc.
(Name of Corporation)


(Signature of corporate officer)

Terry Spraker, President
(Typed name and title of officer signing)

Delaware

The First State

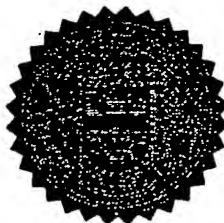
I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "SURX, INC.", FILED A CERTIFICATE OF AMENDMENT, CHANGING ITS NAME TO "SOLARANT MEDICAL, INC.", THE THIRD DAY OF FEBRUARY, A.D. 2004, AT 5:01 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE AFORESAID CORPORATION IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE NOT HAVING BEEN CANCELLED OR DISSOLVED SO FAR AS THE RECORDS OF THIS OFFICE SHOW AND IS DULY AUTHORIZED TO TRANSACT BUSINESS.



2618606 8320

040092839



Harriet Smith Windsor
AUTHENTICATION: 2923008
Harriet Smith Windsor, Secretary of State

DATE: 02-10-04

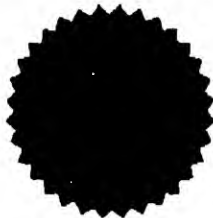
Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "SURX, INC.", CHANGING ITS NAME FROM "SURX, INC." TO "SOLARANT MEDICAL, INC.", FILED IN THIS OFFICE ON THE THIRD DAY OF FEBRUARY, A.D. 2004, AT 5:01 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

2618606 8100

040074823

AUTHENTICATION: 2913818

DATE: 02-05-04



State of Delaware
 Secretary of State
 Division of Corporations
 Delivered 03:28 PM 02/03/2004
 FILED 05:01 PM 02/03/2004
 SRV 040074823 - 2618606 FILE

**CERTIFICATE OF AMENDMENT OF
 AMENDED AND RESTATED
 CERTIFICATE OF INCORPORATION OF
 SURx, INC.**

SURx, INC., a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware (the "Corporation"),

DOES HEREBY CERTIFY:

FIRST: The name of the Corporation is SURx, Inc.

SECOND: The date on which the Certificate of Incorporation of the Corporation was originally filed with the Secretary of State of the State of Delaware is April 29, 1996.

THIRD: The Board of Directors of the Corporation, acting in accordance with the provisions of Sections 141 and 242 of the General Corporation Law of the State of Delaware, adopted resolutions amending its Certificate of Incorporation as follows:

Article I shall be amended and restated to read in its entirety as follows:

"I.

The name of the Corporation is SOLARANT MEDICAL, INC. (the "Company or the "Corporation")."

FOURTH: Thereafter pursuant to a resolution of the Board of Directors, this Certificate of Amendment was submitted to the stockholders of the Corporation for their approval, and was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, SURx, Inc. has caused this Certificate of Amendment of Amended and Restated Certificate of Incorporation to be signed by its President and attested to by its Assistant Secretary this 3rd day of February, 2004.

SURx, INC.

By: /s/ Terry Spraker
 Terry Spraker, President

ATTEST:

/s/ James F. Fulton
 James, F. Fulton, Assistant Secretary